

A Formalization of Strand Spaces in Coq

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Abstract

In this paper we formally prove the correctness of two theorems about cryptographic protocol analysis by using the Coq proof assistant. The theorems are known as the Authentication Tests in the strand space formalism. With such tests, we can determine whether certain values remain secret so we can check whether certain security properties are achieved by a protocol. Coq is a formal proof management system. It provides a formal language to express mathematical assertions, mechanically checks proofs of these assertions. Coq works within the theory of the calculus of inductive constructions, which is a variation on the calculus of constructions. We first formalize strand spaces by giving definitions in Coq of the basic notions. Then we express the two authentication tests and give constructive proofs for them.

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Chapter 1

Introduction

This chapter gives a short introduction about project motivations, cryptographic protocols, and proof assistant.

1.1 Objectives and Project Motivations

Cryptographic protocols are intended to let principals communicate securely over a communication protocol which are designed to provide various kinds of security assurances. An important security goal of cryptographic protocol is authentication, the act of confirming the truth of an attribute of a datum or entity like verifying freshness of a nonce. Many research papers about authentication have been published. One of them is Authentication Tests and the Structure of Bundles by Joshua Guttman and Javier Thayer [6]. The main idea of authentication tests is that if a principal in a cryptographic protocol creates and transmits a message containing a new value v , and later receives v back in a different cryptographic context then it can be concluded that some principal processing the relevant key has received and transformed the message in which was emitted. The authentication tests themselves are easy to apply but the proof justifying them are more complicated [6]. Though authentication tests are proved in the paper, they have not been formally verified. As we know that once lemma or a theorem has been proved in some proof assistant language like Coq, we will have a very strong assurance that it is true - much more than what we usually have when doing a pen-and-paper proof. In addition, we found that there are few papers and projects using Coq to verify security goal of cryptographic protocols and to particularly formalize strand spaces, which is a well-known approach to cryptographic protocols.

In this project we prove authentication tests under strand space formalism approach using the Coq proof assistant. First, we formalize strand spaces and all basic concepts needed for proving authentications tests like components, transformation

paths, penetrable keys. Then we provide detailed formal proofs of all relevant lemmas, theorems, and finally authentication tests.

The purpose of the project is to help researchers in security area have more confidence in using the result of authentication tests since they are formally verified. Our implementation is modular so that researchers can easily extract certain modules for their purpose. For example, the formalization can be used as a frame work for later research using strand space approach.

1.2 Reasoning about Cryptographic Protocols

Cryptographic protocols are programs that aim at securing communications on insecure networks, such as Internet, by relying on cryptographic primitives. Even when cryptographic protocols have developed carefully by experts and also reviewed thoughtfully by other experts, the design of cryptographic protocols may contain some bugs possibly causing them unusable [9]. For instance, in the Needham-Schroeder public-key protocol, a flaw (using man in the middle attack method) was found by Lowe 17 years after its publication. Although much progress has been made, current cryptographic protocols may still have some flaws. Moreover, security errors cannot be detected by functional software testing because they appear only in the presence of a malicious adversary. Automatic tools can therefore be very helpful in detecting and also verifying the correctness of security protocols. A lot of tools for verifying and analyzing cryptographic protocols have been developed like ProVerfi, SATMC, PVS, and CPSA. Hence, security protocol verification has been a very active research area since 1990s.

There are several techniques for proving protocol correctness. Two common approaches in this area are symbolic and computational models. Symbolic model approach relies on specifications while computational model approach relies on implementations. A well-known approach of the former one is strand space model, developed by Joshua D. Guttman, Javier Thayer Fabrega, and Jonathan C. Herzog [5]. This approach has several advantages as following.

- It gives a clear semantics to the assumption that certain data items, such as nonces and session keys, are fresh, and never arise in more than one protocol run [5].
- It provides an explicit model of the possible behaviors of a system penetrator; this allows to develop general theorems that bound the abilities of the penetrator, independent of the protocol under study [5].
- It allows various notions of correctness, involving both secrecy and authentication, to be stated and proved [5].

- The approach leads to detailed insight into the reasons why the protocol is correct, and the assumptions required. Proofs are simple and informative: they are easily developed by hand, and they help to identify more exact conditions under which we can rely on the protocol [5].

We will describe in details strand spaces in the next chapter.

1.3 Proof Assistants

Proof assistants (interactive theorem provers) are computer systems that allow a user to do mathematics on a computer, focusing on the aspects of proving and defining but not so much the computing. So a user can set up a mathematical theory, define properties and do logical reasoning with them. In many proof assistants one can also define functions and compute with them, but their main focus is on doing proofs. As opposed to proof assistants, there are also automated theorem provers. These are systems consisting of a set of well chosen decision procedures that allow formulas of a specific restricted format to be proved automatically. Automated theorem provers are powerful, but have limited expressivity, so there is no way to set-up a generic mathematical theory in such a system.

There are a lot of proof assistant systems like Isabelle, Coq, PVS, NuPRL. Out of them, Coq seems to be the most powerful system that supports a lot of features such as higher-order logic, dependent types, proof automation, proof by reflection, code generation. The Coq proof assistant is distinguished from the other. That is the main reason that we chose to use Coq instead of another proof assistant.

In addition, proving using Coq provides numerous advantages over paper-and-pencil proofs. First, Coq can mechanically check our proofs, hence it provides much greater confidence on our formalization and on the correctness of our theorems. Second, because all proofs in Coq are constructive, we can automatically extract certified implementations of all our theories. This provides runnable tools (for free!) and give us confidence in the tools as well. Finally, a mechanized representation is more valuable to others who can easily adapt our work to related projects and obtain high assurance in the results.

1.4 Related Work

This section shall describe some work that is related to my project.

1. The first one is “A formalization of the spi calculus in Coq” [4]. Spi calculus is an extension of Pi calculus. It is used to model and study cryptographic protocols. That project is similar to my project because it is also a formalization

of some mathematical structure in Coq. However, formalizing spi calculus and formalizing strand spaces have different styles. While spi calculus is a functional programming with concurrent processes [4], strand space model is about logic.

2. The second related work is Proving "Proving Security Protocols Correct" Correct: Formal Verification of Strand Spaces by Andrew Kent and J McCarthy. This work is very similar to my project since it is also to formalize strand spaces. In this work, they followed an other paper of Joshua Guttmann and Thayer Javier, which is just about strand spaces. So their goals are to formalize strand spaces, and to prove some properties of strand spaces; they did preliminary work but it remains unpublished. In my project, I have a different formalization of strand spaces in Coq and I did prove a lot of lemmas and theorems about strand spaces, and authentication tests.
3. CertiCrypt is a fully machine-checked framework built on top of the Coq proof assistant [7]. It is a tool that assists the construction and verification of cryptographic protocols. It supports common patterns for reasoning about cryptography, and has been used successfully to prove many security goals, for example, encryption, digital signature schemes, and zero-knowledge protocols [2]. CertiCrypt provides a rich set of verification techniques for probabilistic programs, including equational theories of observational equivalence, a probabilistic relational Hoare logic, certified program transformations, and techniques widely used in cryptographic proofs such as eager/lazy sampling and failure events [7]. CertiCrypt works in the "computational model" for protocol analysis, as opposed to the "symbolic model" that is the context of our work.

Chapter 2

Background

2.1 Strand Space Overview

In this section, we briefly summarize the ideas behind the strand space model. The Coq development in the next chapter will provide precise definitions.

A strand space is a set of strands; one may think of a strand space as containing all legitimate executions together with all the actions that a penetrator may apply to the messages contained in these executions.

A strand is a sequence of events that a single principal, either a legitimate principal or a penetrator, may engage in. The height of a strand is the number of nodes on that strand. Each strand is a sequence of message transmissions and receptions with specific values such as nonces and keys. Transmission of a term t is represented as $+t$ and reception of a term t is represented as $-t$. Each element of a strand is called a node. Given a strand s , (s, i) is the i^{th} node on s . We say that $n \Rightarrow n'$ if $n = (s, i)$ and $n' = (s, i + 1)$. Thus, the relation $\Rightarrow^+$ between two nodes is the transitive closure of the relation $\Rightarrow$. The relation $n \rightarrow n'$ represents the inter-strand communication; it means that $term(n) = +t$ and $term(n') = -t$; here $term(n)$ denotes the signed (unsigned) message at the node n .

Let A be the set of all possible messages that can be exchanged between principals in a protocol. We call elements of A terms. A is freely generated from two disjoint sets, set of texts T and set of cryptographic keys K , by concatenations $encr : K \times A \rightarrow A$ and encryptions $join : A \times A \rightarrow A$. Hence, A is closed under concatenation and encryption. The set K is equipped with an injective unary operator $inv : K \rightarrow K$ which maps each member of asymmetric key pair to the other and maps a symmetric key to itself.

A signed term is a pair of a sign $\sigma \in +, -$ and a term t , written either $\langle \sigma, t \rangle$ or $+t$ or $-t$.

A term t_1 is a subterm of another term t_2 , denoted as $t_1 \sqsubset t_2$, if we can get t_2 from t_1 by repeatedly concatenating with arbitrary terms and encrypting with arbitrary keys. For example, A, N_a are subterms of $|N_a A|_K$ but K is not.

Another important concept under strand space is origination. We say that a term t originates at a node n if n is a transmission node, $t \sqsubset \text{term}(n)$, and t is not a sub-term of any earlier node of n ; hence, n is the first node in its strand includes t . A node is called uniquely originating if it is originated on only one node over all strands.

A bundle is a casually well-founded collection of nodes and two relations $\Rightarrow$ and $\rightarrow$. It represents the actual protocol interactions. In a bundle, when a strand receives a message m , there is a unique node transmitting m from which the message was immediately received. In contrast, when a strand transmits a message m , many strands or none may immediately receive m . The height of a strand in a bundle is the number of nodes on the strand that are in the bundle.

The penetrator's powers are characterized by the set of compromised keys which are initially known to penetrator, and a set of penetrator strands that allow the penetrator to generate new messages. The set of compromised keys typically would contain all public keys, all private keys of penetrators, and all symmetric keys initially shared between the penetrator and principals playing by the protocol rules. The atomic actions available to penetrator are encoded in a set of penetrator strands. We partition penetrator strands according to the operations they exemplify. E-strands encrypt when given a key and a plain-text; D-strands decrypt when given a decryption key and matching cipher-text; C-strands concatenate terms; S-strands separate terms; M-strands emit known atomic text or guess; and K-strands emit keys from a set of known keys.

Important units for protocol correctness are components. A term t is a component of another term t' if $t \sqsubset t'$, t is not a concatenated term, and for every $s \neq t$ such that $t \sqsubset s \sqsubset t'$, s is a concatenated term. Thus, a component is either atomic value or an encryption. A term t is new at a node $n = \langle s, i \rangle$ if t is a component of $\text{term}(n)$ but t is not a component of node $\langle s, j \rangle$ for every $j < i$. A component is new even if it has occurred earlier as a nested subterm of some larger component. When a component occurs new in a regular node but was a subterm of some previous node, then the principal executing that strand has done some cryptographic work to extract it as a new component[6].

2.2 The Coq Proof Assistant Overview

2.2.1 What is Coq?

We briefly describe what the Coq proof assistant is in this section.

The Coq system is a computer tool for mechanically verifying theorem proofs, and at the same time a functional programming language with a powerful type system.

Once you have proved something in Coq, you have strong assurance that it is true - more than what you usually have when doing a pen-and-paper proof. These theorems may concern usual mathematics, proof theory, or program verification. The Coq proof assistant is very powerful and expressive both for reasoning and programming. We can construct from simple terms and write simple proofs to building whole theories and complex algorithms. It provides an environment for defining objects (integers, sets, trees, functions...), making statements using logical connectives and basic predicates, and writing proofs. It also provides program extraction towards Haskell and Ocaml for efficient execution of algorithms and linking with other libraries.

The Coq compiler automatically checks the correctness of definitions (well-formed sets, terminating functions...) and of proofs [8].

As a proof assistant, Coq is similar to higher order logic (HOL) systems, a family of interactive theorem prover based on Church's HOL including Isabelle, PVS... Unlike these systems, Coq is based on intuitionistic type theory. Consequently, it is closer to Epigram, and NuPrl... The common properties of these system are that functions are programs that can be computed and not just binary relation. Coq can be used from standard teletype-like shell window but preferably through the graphical user interface called CoqIde. Coq is not an automated theorem prover which means that it does not automatically prove theorems. However, it can be considered as a semi-automated theorem prover since it includes many automatic theorem proving tactics and various decision procedures. It greatly simplifies the development of formal proofs by automating some aspects of it.

Under programming language point of view, Coq implements dependently typed functional programming language, while under logical system, it implements a higher-order type theory [3]. Coq exploits the notion of Curry-Howard isomorphism - the correspondence between proofs and programs. The relation between a proof and the statement it proves is the same as the relation between a program and its type. At the level of proofs and programs, we have the following correspondence summarized in Table 1.1.

Logic side	Programming side
hypothesis	free variables
implication elimination	application
implication introduction	abstraction

Table 2.1: At the Level of Proofs and Programs

And Table 1.2 summaries the correspondence at the level of terms and types. The

Logic side	Programming side
universal quantification	generalised function space
existential quantification	generalised cartesian product
implication	function type
conjunction	product type
disjunction	sum type
true formula	unit type
false formula	bottom (empty) type

Table 2.2: At the Level of Terms and Types

correspondence says that, for example, implication behaves the same as a function type, conjunction as product type, and disjunction as sum type. The assertion $T : \tau$ means that the term T is of type τ or equivalently that T is a proof of the proposition τ . A type $A \rightarrow B$ is the type of a function that associates a term of type B to any term of type A , while a proof of $A \rightarrow B$ is a term of that type or a term of the form $\lambda x.t$ where x is a proof of A and t is a proof of B .

There is usually a syntactic distinction between types and terms in most type theories. However, types and terms are defined as the same syntatic structure so everything even type is a term in Coq. Consequently, all objects have a type: atomic types, types for functions, types for proofs, types for types. When manipulated as terms, types are themselves a type which is a constant of the language called a sort. Prop and Set are the two base sorts. The sort Prop is the universe of propositions. The sort Set intends to be the type of small sets and includes data types such as booleans, natural numbers, and but also includes products, subsets, function type over these data types [1].

The original Coq system was based on the Calculus of Constructions (CoC). Version 7 was based on a generalization of CoC, the Calculus of Inductive Constructions (CIC). Since version V8 it is based on a weaker calculus, namely Predicate Calculus of Inductive Constructions (pCIC). The language of CIC also has typed terms, conversion rules, derived rules, and (co)inductive definitions [1].

2.2.2 Coq Architecture

Coq have two levels architecture - kernel and environment. A relatively small kernel based on a language with few primitive constructions (sorts, functions, inductive definitions, product types...) and a limited number of rules for type checking and computation. On top of the kernel, there is a rich environment to help designing theories and proofs. This environment offers mechanism like user extensible nota-

tions, tactics for proof automation, libraries... Any definition or proof defined in the environment is ultimately checked by the kernel so the environment can be used and extended safely [8].

As a Coq user, using high level constructions will help to solve a problem quickly. However, it might also be important to understand the underlying low level language in order to develop new functionalities and to better control how certain constructions work.

Chapter 3

Message_Algebra

This chapter contains the formalization of the message algebra. We define the set of possible messages that can be exchanged between principals in a protocols and the relations on messages.

3.1 Texts

3.1.1 Definition

Variable $Text : \text{Set}$.

3.1.2 Decidable equality for texts

Variable $eq_text_dec : \forall (x\ y : \text{Text}), \{x = y\} + \{x \neq y\}$.

3.2 Keys

Interesting design choices about keys. Here we do not model symmetric and asymmetric keys as separate types; the distinction is just different constructor/injections into the key type. Sometimes simpler. Possible issue is with key inverses...?

3.2.1 Definition

Variable $Key : \text{Set}$.

Parameter $K_p : \text{set } Key$.

3.2.2 Inverse relation for keys

Variable $inv : \text{relation } \text{Key}$.

3.2.3 Inv is commutative

Axiom $inv_comm : \forall k k', inv\ k\ k' \rightarrow inv\ k'\ k$.

3.2.4 Decidable equality for keys

Variable $eq_key_dec : \forall (x\ y : \text{Key}), \{x=y\} + \{x \neq y\}$.

3.3 Messages

In my formalization, messages are terms (as in the paper). We now define the set of messages.

3.3.1 Inductive definition for messages

Inductive **msg** : Set :=
| T : Text → **msg**
| K : Key → **msg**
| P : **msg** → **msg** → **msg**
| E : **msg** → Key → **msg**.

3.3.2 Decidable equality for messages

Definition $eq_msg_dec : \forall x\ y : \text{msg},$
 $\{x = y\} + \{x \neq y\}$.

3.3.3 Signed messages

In a protocol, principals can either send or receive messages. We represent transmission of a message as the occurrence of that message with positive sign, and reception of a message as its occurrence with negative sign [6]. So in Coq, signed messages are defined as an inductive set with two constructors, one for positive signed messages and the other for negative signed messages.

Definition

Inductive **smsg** :=

| xmit_msg : **msg** → **smsg**
| rcv_msg : **msg** → **smsg**.

Notation "+ m" := (xmit_msg m) (at level 30) : *ma_scope*.

Notation "- m" := (rcv_msg m) : *ma_scope*.

Signed messages to messages

A function to convert signed messages to messages.

Definition smsg_2_msg (m : **smsg**) : **msg** :=

match m with
| (xmit_msg x) ⇒ x
| (rcv_msg x) ⇒ x
end.

Decidable equality for signed messages

Definition eq_smsg_dec : ∀ (x y : **smsg**), {x=y} + {x≠y}.

3.3.4 Atomic messages

A message is atomic if it is either a text message or a key message.

Inductive **atomic** : **msg** → Prop :=

| atomic_text : ∀ t, **atomic** (T t)
| atomic_key : ∀ k, **atomic** (K k).

3.3.5 Concatenated messages

Inductive **pair** : (**msg** → Prop) :=

| pair_step : ∀ m1 m2, **pair** (P m1 m2).

3.3.6 Encrypted messages

Inductive **enc** : **msg** → Prop :=

| enc_step : ∀ m k, **enc** (E m k).

3.3.7 Simple message

A message is simple if it is not a concatenated (paired) message.

Inductive **simple** : **msg** $\rightarrow$ Prop :=
 | simple_step : $\forall m, \neg \text{pair } m \rightarrow \text{simple } m$.

Encrypted implies simple Lemma enc_imp_simple : $\forall x k, \text{simple } (\text{E } x k)$.

3.3.8 Some basic results about atomic, paired, and simple

Lemma pair_not_atomic :
 $\forall m, \text{pair } m \rightarrow \neg \text{atomic } m$.

Lemma atom_not_pair:
 $\forall m, \text{atomic } m \rightarrow \neg \text{pair } m$.

Lemma enc_not_atomic : $\forall m1 m2, \neg \text{atomic } (\text{P } m1 m2)$.

Lemma atomic_imp_simple : $\forall a, \text{atomic } a \rightarrow \text{simple } a$.

3.4 Freeness assumptions

Pair and encryption freess assumptions are provable in this context. If two concatenated (or encrypted) messages are equal then each component of the first is equal the corresponding componet of the second.

3.4.1 Pair freeness

Lemma pair_free : $\forall m1 m2 m1' m2',$
 $\text{P } m1 m2 = \text{P } m1' m2' \rightarrow m1 = m1' \wedge m2 = m2'.$

3.4.2 Encryption Freeness

Lemma enc_free : $\forall m k m' k',$
 $\text{E } m k = \text{E } m' k' \rightarrow m = m' \wedge k = k'.$

3.5 Ingredients

Called “carried by” in some CPSA publications, and “subterm” in the “Authentication Tests and the structures of bundles”.

3.5.1 Definition

The `ingred` relation is defined inductively as following.

Inductive **ingred** : **msg** → **msg** → Prop :=
 | ingred_refl : ∀ *m*, **ingred** *m* *m*
 | ingred_pair_l : ∀ *m l r*,
 ingred *m l* → **ingred** *m* (P *l r*)
 | ingred_pair_r : ∀ *m l r*,
 ingred *m r* → **ingred** *m* (P *l r*)
 | ingred_encr : ∀ *m x k*,
 ingred *m x* → **ingred** *m* (E *x k*).

Notation "*a* jst *b*" := (**ingred** *a b*) (at level 30) : *ss_scope*.

3.5.2 Proper ingredient

Definition proper_ingred (*x y*: **msg**) : Prop :=
ingred *x y* ∧ *x* ≠ *y*.

Notation "*a* jst *b*" := (proper_ingred *a b*) (at level 30) : *ss_scope*.

3.5.3 Properties of the ingredient relation

Transitive

Lemma ingred_trans :
 ∀ *x y z*, *x* <st *y* → *y* <st *z* → *x* <st *z*.

Some other basic results about ingredients

Lemma ingred_pair : ∀ (*x y z*:**msg**), *x* ≠ (P *y z*) →
 x <st (P *y z*) →
 x <st *y* ∨ *x* <st *z*.

Lemma ingred_enc : ∀ (*x y*:**msg**) (*k*:Key), *x* ≠ (E *y k*) →
 x <st (E *y k*) →
 x <st *y*.

3.6 Size of messages

3.6.1 Definition

Fixpoint size ($m:\mathbf{msg}$) :=
 match m with
 | $\mathbf{T} \ t \Rightarrow 1$
 | $\mathbf{K} \ k \Rightarrow 1$
 | $\mathbf{P} \ m1 \ m2 \Rightarrow (\text{size } m1) + (\text{size } m2)$
 | $\mathbf{E} \ x \ k \Rightarrow (\text{size } x) + 1$
 end.

Size of every message is always positive Lemma zero_lt_size : $\forall x, 0 < \text{size } x$.

Lemma size_lt_plus_1 : $\forall x \ y, \text{size } x < \text{size } x + \text{size } y$.

3.6.2 Relationship between ingredient and size

Size of an ingredient x is always less than or equal size of message y if x is an ingredient of y .

Lemma ingred_lt :

$\forall x \ y, x <_{\mathbf{st}} y \rightarrow \text{size}(x) \leq \text{size}(y)$.

Lemma ingred_ge_size_eq :

$\forall x \ y, x <_{\mathbf{st}} y \rightarrow \text{size}(x) \geq \text{size}(y) \rightarrow x = y$.

If each message is an ingredient of each other, then they are equal.

Lemma ingred_eq : $\forall (x \ y : \mathbf{msg}), x <_{\mathbf{st}} y \rightarrow y <_{\mathbf{st}} x \rightarrow x = y$.

Lemma atomic_ingred_eq :

$\forall x \ a, \mathbf{atomic} \ a \rightarrow \mathbf{ingred} \ x \ a \rightarrow x = a$.

3.7 Components

Intuitively, a message x is a component of a message m if we can get x just by separation out all the pairs in m , without using decryption.

3.7.1 Component of a message

A message t_0 is an e-ingredients of message t if t is in the smallest set containing t_0 and closed under concatenation with arbitrary term t_1 , i.e, if t_0 is an atomic value

of t.

```
Inductive e_ingred : relation msg :=
| e_ingred_refl : ∀ (t0:msg), e_ingred t0 t0
| e_ingred_pair_l : ∀ t0 t1 t2,
    e_ingred t0 t1 → e_ingred t0 (P t1 t2)
| e_ingred_pair_r : ∀ t0 t1 t2,
    e_ingred t0 t2 → e_ingred t0 (P t1 t2).
```

```
Inductive comp : relation msg :=
| comp_step : ∀ m1 m2,
    simple m1 → e_ingred m1 m2 → comp m1 m2.
```

Notation "a jcom b" := (comp a b) (at level 30) : ss_scope.

3.7.2 Component implies ingredient

Lemma e_ingred_imp_ingred : ∀ m1 m2, e_ingred m1 m2 → ingred m1 m2.

Lemma comp_imp_ingred : ∀ (m1 m2:msg), m1 <com m2 → m1 <st m2.

3.7.3 Concatenation or pairing preserves components

If a message x is a component of another message m1, it also is a component of every message which is concatenated from m1 and an arbitrary message m2. Lemma

preserve_comp_l : ∀ x m1 m2, comp x m1 → comp x (P m1 m2).

Lemma preserve_comp_r : ∀ x m1 m2, comp x m2 → comp x (P m1 m2).

3.7.4 An atomic message is a component of itself

Lemma comp_atomic_cyclic : ∀ a, atomic a → comp a a.

3.7.5 A simple message is a component of itself

Lemma comp_simple_cyclic : ∀ a, simple a → comp a a.

3.8 K-ingredients

Section K_relation.

A message t_0 is an k -ingredients of message t if t is in the smallest set containing t_0 and closed under encryption and concatenation with arbitrary term t_1 , i.e, if t_0 is an atomic value of t .

Variable $F : \text{Set}$.

Parameter $\text{inj_F_K} : F \rightarrow \text{Key}$.

Axiom $\text{inj_F_K_inj} : \forall x\ y : F, \text{inj_F_K}\ x = \text{inj_F_K}\ y \rightarrow x = y$.

Coercion $\text{inj_F_K} : F \rightarrow \text{Key}$.

Inductive **k_ingred** : **relation** **msg** :=

- | k_ingred_refl : $\forall (t_0 : \text{msg}), \text{k_ingred}\ t_0\ t_0$
- | k_ingred_pair_l : $\forall (t_0\ t_1\ t_2 : \text{msg}),$
 $\text{k_ingred}\ t_0\ t_1 \rightarrow \text{k_ingred}\ t_0\ (\text{P}\ t_1\ t_2)$
- | k_ingred_pair_r : $\forall (t_0\ t_1\ t_2 : \text{msg}),$
 $\text{k_ingred}\ t_0\ t_2 \rightarrow \text{k_ingred}\ t_0\ (\text{P}\ t_1\ t_2)$
- | k_ingred_enc : $\forall (t_0\ t_1 : \text{msg})\ (k : F),$
 $\text{k_ingred}\ t_0\ t_1 \rightarrow \text{k_ingred}\ t_0\ (\text{E}\ t_1\ k)$.

End K_relation.

Chapter 4

Strand_Spaces

This chapter contains the formalization of most of the basic concepts of strand spaces, including strand, node, penetrator strand, strand edges, new component...

4.1 Strands

4.1.1 Strand Definition

A strand is a sequence of events; it represents either an execution by a legitimate party in a security protocol or else a sequence of actions by a penetrator [6]. In Coq, we define a strand as a list of signed messages.

Definition strand : Type := **list** **smsg**.

4.1.2 Decidable equality for strands

It is provable in this context.

Definition eq_strand_dec : $\forall x y : \text{strand}, \{x = y\} + \{x \neq y\}$.

4.2 Nodes

4.2.1 Definition

A node is a pair of a strand and a natural number, which is less than the length of the strand. The natural number is called “index” of that node. Note that the list

index in Coq starts from zero.

Definition `node` : Type := { n : (prod strand nat) | snd n < length (fst n) }.

4.2.2 Strand of a node

Strand of a node function takes a node and returns the strand of that node.

Definition `strand_of` (n :node) : strand := match n with
| exist $apair$ - $\Rightarrow$ fst $apair$ end.

4.2.3 Index of a node

Index of a node function takes a node and returns the index of that node.

Definition `index_of` (n :node) : nat := match n with
| exist $apair$ - $\Rightarrow$ snd $apair$ end.

4.2.4 Decidable equality for nodes

For any two nodes, we can decide whether they are equal or not.

Definition `eq_node_dec` : $\forall x y$: node,
{ $x = y$ } + { $x \neq y$ }.

4.2.5 Signed message of a node

We want to have a function that takes a node and returns the signed message of that node. However, it is a little bit hard to write it in Coq since node is a dependent type. Specifically, a node just contains its strand and its index, so we need to extract the signed message at the “index-th” position on the strand. Below are some helper functions for defining such the function.

Definition `option_smsg_of` (n :node) : (option smsg) :=
match n with
| exist (s, i) - $\Rightarrow$ nth_error s i end.

Lemma `nth_error_len` :
 $\forall (A$:Type) (l :list A) (n :nat),
nth_error l n = None $\rightarrow$ (length l) $\leq n$.

Lemma `valid_smsg` : $\forall (n$:node), { m :smsg | option_smsg_of n = Some m }.

Here is the actual signed message of a node function.

Definition `smsg_of (n:node) : smsg := match (valid_smsg n) with`
`| exist m _ => m end.`

4.2.6 Unsigned message of a node

To get the unsigned message of a node, just convert its signed message to the unsigned one.

Definition `msg_of (n:node) : msg := smsg_2_msg (smsg_of n).`

4.2.7 Predicate for positive and negative nodes

A node is a positive (transmission) node if the signed message of that node is positive

Definition `xmit (n:node) : Prop :=  $\exists$  (m:msg), smsg_of n = + m.`

A node is a negative (reception) node if the signed message of that node is negative

Definition `recv (n:node) : Prop :=  $\exists$  (m:msg), smsg_of n = - m.`

4.3 Penetrator Strands

Section `PenetratorStrand`.

The penetrator's powers are characterized by the set of compromised keys which are initially known to penetrator, and a set of penetrator strands that allow the penetrator to generate new messages. The set of compromised keys typically would contain all public keys, all private keys of penetrators, and all symmetric keys initially shared between the penetrator and principals playing by the protocol rules [9].

Parameter `K_p : set Key`.

The atomic actions available to penetrator are encoded in a set of penetrator strands. We partition penetrator strands according to the operations they exemplify.

4.3.1 Text Message Strand

M-strands emit known atomic text or guess.

```

Inductive MStrand (s : strand) : Prop :=
| P_M :  $\forall t : \text{Text}, s = [+ (\text{T } t)] \rightarrow \mathbf{MStrand } s.$ 

```

4.3.2 Key Strand

K-strands emit keys from a set of known keys.

```

Inductive KStrand (s : strand) : Prop :=
| P_K :  $\forall k : \text{Key}, \text{set\_in } k \text{ } K\_p \rightarrow s = [+ (\text{K } k)] \rightarrow \mathbf{KStrand } s.$ 

```

4.3.3 Concatenation Strand

C-strands concatenate terms.

```

Inductive CStrand (s : strand) : Prop :=
| P_C :  $\forall (g \ h : \text{msg}), s = [- \ g ; - \ h ; + (\text{P } g \ h)] \rightarrow \mathbf{CStrand } s.$ 

```

4.3.4 Separation Strand

S-strands separate terms.

```

Inductive SStrand (s : strand) : Prop :=
| P_S :  $\forall (g \ h : \text{msg}), s = [- (\text{P } g \ h) ; + \ g ; + \ h] \rightarrow \mathbf{SStrand } s.$ 

```

4.3.5 Encryption Strand

E-strands encrypt when given a key and a plain-text.

```

Inductive EStrand (s : strand) : Prop :=
| P_E :  $\forall (k : \text{Key}) (h : \text{msg}), s = [- (\text{K } k) ; - \ h ; + (\text{E } h \ k)] \rightarrow \mathbf{EStrand } s.$ 

```

4.3.6 Decryption Strand

D-strands decrypt when given a decryption key and matching cipher-text.

```

Inductive DStrand (s : strand) : Prop :=
| P_D :  $\forall (k \ k' : \text{Key}) (h : \text{msg}),$ 
 $\text{inv } k \ k' \rightarrow s = [- (\text{K } k') ; - (\text{E } h \ k) ; + \ h] \rightarrow \mathbf{DStrand } s.$ 

```

4.3.7 Definition for PenetratorStrand

Hence, a strand is called a penetrator strand if it is one of the above strands.

```
Inductive PenetratorStrand (s:strand) :Prop :=  
| PM : MStrand s → PenetratorStrand s  
| PK : KStrand s → PenetratorStrand s  
| PC : CStrand s → PenetratorStrand s  
| PS : SStrand s → PenetratorStrand s  
| PE : EStrand s → PenetratorStrand s  
| PD : DStrand s → PenetratorStrand s.
```

4.3.8 Predicates for penetrable nodes and regular nodes

A node is a penetrator node if the strand it lies on is a penetrator strand.

```
Definition p_node (n:node) : Prop := PenetratorStrand (strand_of(n)).
```

A non-penetrator node is called a regular node.

```
Definition r_node (n:node) : Prop := ¬ p_node n.
```

4.3.9 Axiom for penetrator node and regular node

Every node is either a penetrator node or regular node.

```
Axiom node_p_or_r : ∀ (n:node), p_node n ∨ r_node n.
```

End PenetratorStrand.

4.4 Edges

4.4.1 Inter-strand Edges

The inter-strand communication is represented as a relation on nodes. $x \rightarrow y$ means that a transmission node x sends message to a reception node y .

```
Inductive msg_deliver : relation node :=  
| msg_deliver_step : ∀ (x y : node) (m:msg),  
  smsg_of x = +m ∧ smsg_of y = -m ∧ strand_of(x) ≠ strand_of(y)  
  → msg_deliver x y.
```

Notation " $x \text{--}_i y$ " := (**msg_deliver** $x y$) (at level 0, right associativity) : *ss_scope*.

4.4.2 Iner-strand Edges - Strand ssuccessor

A node y is the successor of a node x , denoted as $x \text{==}_i y$, if they are on the same strand and y is immediately after x on the list of nodes of the strand.

Inductive **ssucc** : **relation** node :=
 | **ssucc_step** : $\forall (x y : \text{node}), \text{strand_of}(x) = \text{strand_of}(y) \wedge$
 $\text{index_of}(x) + 1 = \text{index_of}(y) \rightarrow \text{ssucc } x y.$
 Notation " $x \text{==}_i y$ " := (**ssucc** $x y$) (at level 0, right associativity) : *ss_scope*.

Transitive closure of strand ssuccessor Definition **ssuccs** : **relation** node := **clos_trans** node **ssucc**.

Notation " $x \text{==}_{i+} y$ " := (**ssuccs** $x y$) (at level 0, right associativity) : *ss_scope*.

Reflexive Transitive Closure of strand successor Definition **ssuccseq** : **relation** node := **clos_refl_trans** node **ssucc**.

4.4.3 Edges on Strand

An edge is a relation on nodes and it is either a inter-strand or inner-strand relation.

Inductive **strand_edge** : **relation** node :=
 | **strand_edge_single** : $\forall x y, \text{msg_deliver } x y \rightarrow \text{strand_edge } x y$
 | **strand_edge_double** : $\forall x y, \text{ssucc } x y \rightarrow \text{strand_edge } x y.$

Transitive closure of edge Definition **prec** := **clos_trans** node **strand_edge**.
 Notation " $x \text{==}_i^* y$ " := (**prec** $x y$) (at level 0, right associativity) : *ss_scope*.

4.4.4 Constructive and Destructive Edges

An edge is constructive if both nodes lie on a encryption or concatenation strand.

Inductive **cons_edge** : **relation** node :=
 | **cons_e** : $\forall x y, \text{ssuccs } x y \rightarrow \text{EStrand } (\text{strand_of } x) \rightarrow \text{cons_edge } x y$
 | **cons_c** : $\forall x y, \text{ssuccs } x y \rightarrow \text{CStrand } (\text{strand_of } x) \rightarrow \text{cons_edge } x y.$

An edge is destructive if both nodes lie on a decryption or separation strand.

Inductive **des_edge** : **relation** node :=
 | des_d : $\forall x y, \text{ssuccs } x y \rightarrow \mathbf{DStrand} (\text{strand_of } x) \rightarrow \mathbf{des_edge } x y$
 | des_s : $\forall x y, \text{ssuccs } x y \rightarrow \mathbf{SStrand} (\text{strand_of } x) \rightarrow \mathbf{des_edge } x y$.

4.5 Origination

We say that a message m is originate at a node n if n is a trasmission node, m is an ingredient of the message of n , and m is not an ingredient of any earlier node of n .

Definition **orig_at** ($n:\text{node}$) ($m:\mathbf{msg}$) : Prop :=
 $\text{xmit}(n) \wedge (\mathbf{ingred } m (\text{msg_of } n)) \wedge$
 $(\forall (n':\text{node}), ((\text{ssuccs } n' n) \rightarrow$
 $(\mathbf{ingred } m (\text{msg_of } n')) \rightarrow \mathbf{False}))$.

Definition **non_orig** ($m:\mathbf{msg}$) : Prop := $\forall (n:\text{node}), \neg \mathbf{orig_at } n m$.

If a value originates on only one node in the strand space, we call it uniquely originating.

Definition **unique** ($m:\mathbf{msg}$) : Prop :=
 $(\exists (n:\text{node}), \mathbf{orig_at } n m) \wedge$
 $(\forall (n n':\text{node}), (\mathbf{orig_at } n m) \wedge (\mathbf{orig_at } n' m) \rightarrow n=n')$.

4.6 Axioms

4.6.1 The bundle axiom: every received message was sent

Axiom **was_sent** : $\forall x : \text{node}, (\text{recv } x) \rightarrow$
 $(\exists y : \text{node}, \mathbf{msg_deliver } y x)$.

4.6.2 Normal bundle axiom

Axiom **not_k_k** : $\forall k k', \text{inv } k k' \rightarrow \mathbf{DStrand} [\neg (\mathbf{K } k) ; \neg (\mathbf{E } (\mathbf{K } k) k') ; + (\mathbf{K } k)]$.

4.6.3 Well-foundedness

Axiom **wf_prec**: **well_founded** prec.

4.7 Minimal nodes

Definition `is_minimal`: $(\text{node} \rightarrow \text{Prop}) \rightarrow \text{node} \rightarrow \text{Prop} :=$
fun $P\ x \Rightarrow (P\ x) \wedge \forall y, (\text{prec}\ y\ x) \rightarrow \neg (P\ y)$.

Definition `has_min_elt`: $(\text{node} \rightarrow \text{Prop}) \rightarrow \text{Prop} :=$
fun $P \Rightarrow \exists x:\text{node}, \text{is_minimal}\ P\ x$.

4.8 New Component

4.8.1 Component of a node

A message is a component of a node if it is a component of the message at that node.

Definition `comp_of_node` ($m:\text{msg}$) ($n:\text{node}$) : $\text{Prop} := \text{comp}\ m\ (\text{msg_of}\ n)$.
Notation " $x \mid[\text{node}] y$ " := $(\text{comp_of_node}\ x\ y)$ (at level 50) : *ss_scope*.

4.8.2 New at

A message is new at a node if it is a component of that node and the message is not a component of any earlier node in the same strand with the node.

Definition `new_at` ($m:\text{msg}$) ($n:\text{node}$) : $\text{Prop} :=$
 $m <[\text{node}]\ n \wedge \forall (n' : \text{node}), \text{ssuccs}\ n'\ n \rightarrow m <[\text{node}]\ n' \rightarrow \text{False}$.

4.9 Paths

Section `Path`.

Parameter `default_node` : node .

4.9.1 Path condition

A `path_edge` is either a message deliver or a `ssuccs` where the first node is positive and the second node is negative.

Inductive `path_edge` ($m\ n : \text{node}$) : $\text{Prop} :=$
| `path_edge_single` : `msg_deliver` $m\ n \rightarrow \text{path_edge}\ m\ n$
| `path_edge_double` : `ssuccs` $m\ n \wedge \text{recv}(m) \wedge \text{xmit}(n) \rightarrow \text{path_edge}\ m\ n$.

Notation " $m \text{---} n$ " := (**path_edge** m n) (at level 30) : *ss_scope*.

4.9.2 The n-th node of a path

It takes a natural number and a list of nodes and returns the node at the n-th position on the list.

Definition **nth_node** (i :**nat**) (p :**list** node) : node :=
nth_default *default_node* p i .

4.9.3 Definitions for paths

A path is any finite sequence of nodes where for all two consecutive nodes they form a path edge.

Definition **is_path** (p :**list** node) : Prop :=
 $\forall i, i < \text{length}(p) - 1 \rightarrow \text{path_edge} (\text{nth_node } i \ p) (\text{nth_node } (i+1) \ p).$

4.9.4 Axiom for paths

All paths begin on a positive node and end on a negative node.

Axiom *path_begin_pos_end_neg* : $\forall (p$:**list** node),
 $\text{xmit}(\text{nth_node } 0 \ p) \wedge \text{recv}(\text{nth_node } (\text{length}(p)-1) \ p).$

4.9.5 Penetrator Paths

A penetrator path is one in which all nodes other than possibly the first or the last are penetrator nodes.

Definition **p_path** (p :**list** node): Prop := **is_path** $p \wedge \forall i,$
 $(i > 0 \wedge i < \text{length } p - 1) \rightarrow \text{p_node } (\text{nth_node } i \ p).$

Any penetrator path that begins at a regular node contains only constructive and destructive edges.

Lemma **p_path_cons_or_des** :
 $\forall p, \text{p_path } p \rightarrow \text{r_node } (\text{nth_node } 0 \ p) \rightarrow$
 $(\forall i, i < \text{length } p - 1 \rightarrow$
 $\text{cons_edge } (\text{nth_node } i \ p) (\text{nth_node } (i+1) \ p) \vee$
 $\text{des_edge } (\text{nth_node } i \ p) (\text{nth_node } (i+1) \ p)).$

4.9.6 Falling and rising paths

A penetrator path is falling if for all adjacent nodes n, n' on the path the message of n' is an ingredient of n 's.

Definition `falling_path` ($p : \text{list node}$) : Prop :=
 $\text{p_path } p \wedge \forall i, i < \text{length}(p) - 1 \rightarrow$
 $\text{ingred } (\text{msg_of } (\text{nth_node } (i+1) p)) (\text{msg_of } (\text{nth_node } i p)).$

A penetrator path is rising if for all adjacent nodes n, n' on the path the message of n is an ingredient of the message of n' .

Definition `rising_path` ($p : \text{list node}$) : Prop :=
 $\text{p_path } p \wedge \forall i, i < \text{length}(p) - 1 \rightarrow$
 $\text{ingred } (\text{msg_of } (\text{nth_node } i p)) (\text{msg_of } (\text{nth_node } (i+1) p)).$

4.9.7 Destructive and Constructive Paths

A penetrator path is constructive if it contains only constructive edges.

Definition `cons_path` ($p : \text{list node}$) : Prop :=
 $\text{p_path } p \wedge (\forall i, i < \text{length } p - 1 \rightarrow$
 $\text{ssuccs } (\text{nth_node } i p) (\text{nth_node } (i+1) p) \rightarrow$
 $\text{cons_edge } (\text{nth_node } i p) (\text{nth_node } (i+1) p)).$

Definition `cons_path_not_key` ($p : \text{list node}$) : Prop :=
 $\text{cons_path } p \wedge (\forall i, i < \text{length } p - 1 \rightarrow$
 $\text{des_edge } (\text{nth_node } i p) (\text{nth_node } (i+1) p) \rightarrow$
 $\text{EStrand } (\text{strand_of } (\text{nth_node } i p)) \rightarrow$
 $\exists k, \text{msg_of } (\text{nth_node } i p) = K k \rightarrow \text{False}).$

A penetrator path is destructive if it contains only destructive edges.

Definition `des_path` ($p : \text{list node}$) : Prop :=
 $\text{p_path } p \wedge (\forall i, i < \text{length } p - 1 \rightarrow$
 $\text{ssuccs } (\text{nth_node } i p) (\text{nth_node } (i+1) p) \rightarrow$
 $\text{des_edge } (\text{nth_node } i p) (\text{nth_node } (i+1) p)).$

Definition `des_path_not_key` ($p : \text{list node}$) : Prop :=
 $\text{des_path } p \wedge (\forall i, i < \text{length } p - 1 \rightarrow$
 $\text{des_edge } (\text{nth_node } i p) (\text{nth_node } (i+1) p) \rightarrow$
 $\text{DStrand } (\text{strand_of } (\text{nth_node } i p)) \rightarrow$
 $\exists k, \text{msg_of } (\text{nth_node } i p) = K k \rightarrow \text{False}).$

End Path.

4.10 Penetrable Keys and Safe Keys

Penetrable key is already penetrated (K_p) or some regular strand puts it in a form that could allow it to be penetrated, because for each key protecting it, the matching key decryption key is already penetrable [6].

Section Penetrable_Keys.

Parameter $Kp : \text{Set}$.

Parameter $Pk : \text{nat} \rightarrow \text{Key} \rightarrow \text{Prop}$.

Axiom $\text{init_pkeys} : \text{sig} (Pk\ 0) = Kp$.

Axiom $\text{next_pkeys} : \forall (i:\text{nat}) (k:\text{Key}), (\exists (n:\text{node}) (t:\text{msg}),$
 $\quad r_node\ n \wedge xmit\ n \wedge new_at\ t\ n \wedge$
 $\quad k_ingred\ (\text{sig} (Pk\ i)) (K\ k)\ t) \rightarrow Pk\ (i+1)\ k$.

Inductive $\mathbf{PKeys} (k:\text{Key}) : \text{Prop} :=$

| $pkey_step : (\exists (i:\text{nat}), Pk\ i\ k) \rightarrow \mathbf{PKeys}\ k$.

End Penetrable_Keys.

4.11 Transformation paths

Given a test of the form $n \Rightarrow^+ n'$, the strategy for proving the authentication test results is to consider the paths leading from n to n' . Because there is a value a originating uniquely at n , and it is received back at n' , there must be a path leading from n to n' (apart from the trivial path that follows the strand from n to n'). Moreover, since a is received in a new form at n' , there must be a step along the path that changes its form; this is a transforming edge. The incoming and outgoing authentication test results codify conditions under which we can infer that a transforming edge lies on a regular strand [6].

The proofs focus on the transformation paths leading from n to n' that keep track of a relevant component containing a . The relevant component changes only when a transforming edge is traversed, and a occurs in a new component of a node between n and n' . We regard the edge $n \Rightarrow^+ n'$ as a transformed edge, because the same value a occurs in both nodes, but node n contains a in transformed form [1]. Notice that the definition of transformed and transforming edges are modified a little bit to make the proof work precisely. The component of n' containing a is not necessarily new at n' but it is new at some node in between n and n' [6].

Section Trans_path.

Definition $\text{path} : \text{Type} := \text{list} (\text{prod node msg})$.

Variable $p : \text{path}$.

Variable $a : \text{msg}$.

Parameter $\text{default_msg} : \text{msg}$.

Definition $ln := fst \text{ (split } p \text{)}$.

Definition $lm := snd \text{ (split } p \text{)}$.

A function that takes a natural number and a list of messages and returns the message at the n -th position in the list. If the natural number is out of range, then a default message is returned.

Definition $nth_msg : nat \rightarrow list \ msg \rightarrow msg :=$
 $\text{fun } (n:nat) \ (p:list \ msg) \Rightarrow nth_default \ default_msg \ p \ n.$

Definition $L \ (n:nat) := nth_msg \ n \ lm.$

Definition $nd \ (n:nat) := nth_node \ n \ ln.$

An abstract predicate for defining transforming edge and transformed edge.

Definition $transformed_edge \ (x \ y : node) \ (a:msg) : Prop :=$

$ssuccs \ x \ y \wedge \mathbf{atomic} \ a \wedge$
 $\exists \ z \ Ly, \ ssuccs \ x \ z \wedge \text{ssuccseq} \ z \ y \wedge$
 $\text{new_at} \ Ly \ z \wedge a <_{st} Ly \wedge Ly <_{[node]} y.$

A transformed edge emits a atomic message a and later receives in a new form.

Definition $transformed_edge_for \ (x \ y : node) \ (a :msg) : Prop :=$

$\text{transformed_edge} \ x \ y \ a \wedge \text{xmit} \ x \wedge \text{recv} \ y.$

A transforming edge receive a and later emits it in transformed form.

Definition $transforming_edge_for \ (x \ y : node) \ (a :msg) : Prop :=$

$\text{transformed_edge} \ x \ y \ a \wedge \text{recv} \ x \wedge \text{xmit} \ y.$

A transformation path is a path for which each node n_i is labelled by a component L_i of n_i in such a way that $L_i = L_{i+1}$ unless $n_i \Rightarrow n_{i+1}$ is a trans edge.

Definition $is_trans_path : Prop :=$

$(is_path \ ln \vee (ssuccs \ (nd \ 0) \ (nd \ 1) \wedge \text{xmit} \ (nd \ 0) \wedge$
 $\text{xmit} \ (nd \ 1) \wedge is_path \ (tl \ ln))) \wedge$
 $\mathbf{atomic} \ a \wedge$
 $\forall \ (n:nat), \ (n < \text{length} \ p \rightarrow a <_{st} (L \ n) \wedge (L \ n) <_{[node]} (nd \ n)) \wedge$
 $(n < \text{length} \ p - 1 \rightarrow (L \ n = L \ (n+1) \vee (L \ n \neq L \ (n+1) \rightarrow$
 $\text{transformed_edge} \ (nd \ n) \ (nd \ (n+1)) \ a))).$

A transformation path does not traverse the key edge of a D-strand or E-strand.

Definition $not_traverse_key : Prop :=$

$\forall \ i, \ i < \text{length} \ p - 1 \rightarrow (\mathbf{DStrand} \ (\text{strand_of} \ (nd \ i)) \vee \mathbf{EStrand} \ (\text{strand_of} \ (nd$
 $i))) \rightarrow$
 $\exists \ k, \ \text{msg_of} \ (nd \ i) = K \ k \rightarrow \mathbf{False}.$

End Trans_path.

4.11.1 Axiom about penetrator strands and penetrator nodes

Lemma P_node_strand :

$\forall (n:\text{node}), \text{p_node } n \rightarrow \mathbf{PenetratorStrand} (\text{strand_of } n).$

Chapter 5

Strand_Library

This chapter contains a collection of technical results convenient for proving larger results about strand spaces.

5.1 Messages

Convert signed messages to (unsigned) messages

Lemma `smsg_2_msg_xmit` : $\forall n\ m, \text{smsg_of } n = +m \rightarrow \text{msg_of } n = m.$

Lemma `smsg_2_msg_rcv` : $\forall n\ m, \text{smsg_of } n = -m \rightarrow \text{msg_of } n = m.$

Lemma `node_smsg_msg_xmit` : $\forall n\ t,$
 $\text{smsg_of}(n) = (+\ t) \rightarrow$
 $\text{msg_of}(n) = t.$

Lemma `node_smsg_msg_rcv` : $\forall n\ t,$
 $\text{smsg_of}(n) = (-\ t) \rightarrow$
 $\text{msg_of}(n) = t.$

Lemma `nth_error_some_in` { $X:\text{Type}$ } : $\forall l\ i\ (x:X),$
 $\text{nth_error } l\ i = \text{Some } x \rightarrow$
 $\text{List.In } x\ l.$

Lemma `nth_error_node` : $\forall n,$
 $\text{nth_error } (\text{strand_of } n)\ (\text{index_of } n) = \text{Some } (\text{smsg_of } n).$

Lemma `strand_node` : $\forall (s:\text{strand})\ (i:\text{nat}),$
 $i < \text{length } s \rightarrow$
 $\exists n, \text{strand_of } n = s \wedge \text{index_of } n = i.$

Every signed message of a node must be some signed message in the node's

strand

Lemma smsg_in_strand : $\forall n s,$

(strand_of n) = $s \rightarrow$

List.In (smsg_of n) s .

5.2 Xmit and recv

No node is both transmit and receive.

Lemma xmit_vs_recv: $\forall (n:\text{node}), \text{xmit}(n) \rightarrow \text{recv}(n) \rightarrow \text{False}.$

every node is either transmit or receive

Lemma xmit_or_recv: $\forall (n: \text{node}), \text{xmit } n \vee \text{recv } n.$

Lemma eq_nodes : $\forall (x y : \text{node}), \text{strand_of}(x) = \text{strand_of}(y) \rightarrow$
 $\text{index_of}(x) = \text{index_of}(y) \rightarrow x = y.$

5.3 Predecessor and message deliver

5.3.1 Baby result about msg_deliver

Lemma msg_deliver_xmit : $\forall x y, \text{msg_deliver } x y \rightarrow \text{xmit } x.$

Lemma msg_deliver_recv : $\forall x y, \text{msg_deliver } x y \rightarrow \text{recv } y.$

5.3.2 Baby results about prec

Theorem prec_transitive:

$\forall x y z, (\text{prec } x y) \rightarrow (\text{prec } y z) \rightarrow (\text{prec } x z).$

Lemma deliver_prec:

$\forall x y, (\text{msg_deliver } x y) \rightarrow (\text{prec } x y).$

5.4 Successor

This section contains lemmas about successor, transitive closure, reflexive transitive closure, and the relations between successor and index of nodes. For example, if y is a successor of x , then the index of y is greater than the index of x .

Lemma ssucc_index_lt :

$\forall x y, \text{ssucc } x y \rightarrow \text{index_of } x < \text{index_of } y.$

Lemma ssuccs_index_lt :

$\forall x y, \text{ssuccs } x y \rightarrow \text{index_of } x < \text{index_of } y.$

Lemma ssuccseq_index_lteq :

$\forall x y, \text{ssuccseq } x y \rightarrow \text{index_of } x \leq \text{index_of } y.$

Lemma index_lt_one_succ :

$\forall x y, \text{strand_of } x = \text{strand_of } y \rightarrow \text{index_of } x + 1 = \text{index_of } y \rightarrow \text{ssucc } x y.$

Lemma index_lt_ssuccs :

$\forall x y, \text{strand_of } x = \text{strand_of } y \rightarrow \text{index_of } x < \text{index_of } y \rightarrow \text{ssuccs } x y.$

Lemma ssuccs_imp_succseq :

$\forall x y, \text{ssuccs } x y \rightarrow \text{succseq } x y.$

Lemma index_lteq_succseq :

$\forall x y, \text{strand_of } x = \text{strand_of } y \rightarrow \text{index_of } x \leq \text{index_of } y \rightarrow \text{succseq } x y.$

Strand-successor is irreflexive.

Lemma ssucc_acyclic: $\forall (n:\text{node}), \text{ssucc } n n \rightarrow \text{False}.$

Transitive closure of strand successor is also irreflexive.

Lemma ssuccs_acyclic : $\forall (n:\text{node}), \text{ssuccs } n n \rightarrow \text{False}.$

Strand-successors are unique.

Lemma ssucc_unique:

$\forall (x y z : \text{node}), \text{ssucc } x y \rightarrow \text{ssucc } x z \rightarrow y = z.$

Every node and its successor are on the same strand.

Lemma ssucc_same_strand :

$\forall (x y : \text{node}), \text{ssucc } x y \rightarrow \text{strand_of}(x) = \text{strand_of}(y).$

Lemma ssuccs_same_strand :

$\forall (x y : \text{node}), \text{ssuccs } x y \rightarrow \text{strand_of } x = \text{strand_of } y.$

Lemma succseq_same_strand :

$\forall (x y : \text{node}), \text{succseq } x y \rightarrow \text{strand_of } x = \text{strand_of } y.$

Successor reverses prec

Lemma ssucc_prec:

$\forall x y, (\text{ssucc } x y) \rightarrow (\text{prec } x y).$

Successor implies prec.

Lemma ssuccs_prec:

$\forall x y, (\text{ssuccs } x y) \rightarrow (\text{prec } x y).$

Ssuccs is transitive

Lemma ssuccs_trans :

$$\forall x y z, \text{ssuccs } x y \rightarrow \text{ssuccs } y z \rightarrow \text{ssuccs } x z.$$

Lemma path_edge_prec :

$$\forall x y, \text{path_edge } x y \rightarrow \text{prec } x y.$$

5.5 Basic Results for Penetrator Strands

Lemma strand_1_node : $\forall n x, \text{strand_of } n = [x] \rightarrow \text{smsg_of } n = x.$

If n is a node of a MStrand or KStrand, then n is a positive node Lemma
MStrand_xmit_node :

$$\forall (n:\text{node}), \mathbf{MStrand} (\text{strand_of } n) \rightarrow \text{xmit } n.$$

Lemma KStrand_xmit_node :

$$\forall (n:\text{node}), \mathbf{KStrand} (\text{strand_of } n) \rightarrow \text{xmit } n.$$

If n is a node of a strand of length 3, the singed message of n is one of the 3 messages on the strand.

Lemma strand_3_nodes :

$$\forall n x y z, \text{strand_of } n = [x; y; z] \rightarrow \\ \text{smsg_of } n = x \vee \text{smsg_of } n = y \vee \text{smsg_of } n = z.$$

A function to extract the singed message of a positive node which lies on a strand of length 3 including only one positive node. Lemma strand_3_nodes_nnp_xmit :

$$\forall n x y z, \text{strand_of } n = [-x; -y; +z] \rightarrow \text{xmit } n \rightarrow \text{smsg_of } n = +z.$$

A function to extract the singed message of a negative node which lies on a strand of length 3.

Lemma strand_3_nodes_nnp_recv :

$$\forall n x y z, \text{strand_of } n = [-x; -y; +z] \rightarrow \text{recv } n \rightarrow \\ \text{smsg_of } n = -x \vee \text{smsg_of } n = -y.$$

A function to extract the singed message of a negative node which lies on a strand of length 3 including only one negative node.

Lemma strand_3_nodes_npp_recv :

$$\forall n x y z, \text{strand_of } n = [-x; +y; +z] \rightarrow \text{recv } n \rightarrow \\ \text{smsg_of } n = -x.$$

Lemma pair_not_ingred_comp_l : $\forall x y, \neg(\text{P } x y) <\text{st } x.$

Lemma pair_not_ingred_comp_r :

$$\forall x y, \neg(\text{P } x y) <\text{st } y.$$

Lemma enc_not_ingred_comp_l : $\forall x y, \neg(E x y) <_{\text{st}} x$.

Lemma enc_not_ingred_comp_r :

$\forall x y, \neg(E x y) <_{\text{st}} (K y)$.

Lemma CStrand_not_falling :

$\forall (s:\text{strand}), \mathbf{CStrand} s \rightarrow$
 $\neg \exists (n1\ n2 : \text{node}), \text{recv } n1 \wedge \text{xmit } n2 \wedge$
 $\text{strand_of } n1 = s \wedge \text{strand_of } n2 = s \wedge$
 $\mathbf{ingred} (\text{msg_of } n2) (\text{msg_of } n1)$.

Lemma EStrand_not_falling :

$\forall (s:\text{strand}), \mathbf{EStrand} s \rightarrow$
 $\neg \exists (n1\ n2 : \text{node}), \text{recv } n1 \wedge \text{xmit } n2 \wedge$
 $\text{strand_of } n1 = s \wedge \text{strand_of } n2 = s \wedge$
 $\mathbf{ingred} (\text{msg_of } n2) (\text{msg_of } n1)$.

5.5.1 A MStrand or KStrand cannot have an edge

Lemma strand_1_node_index_0 :

$\forall x s, \text{strand_of } x = [s] \rightarrow \text{index_of } x = 0$.

Lemma MStrand_not_edge :

$\forall (s:\text{strand}), \mathbf{MStrand} s \rightarrow \neg \exists (x\ y : \text{node}),$
 $\text{strand_of } x = s \wedge \text{strand_of } y = s \wedge \text{ssuccs } x\ y$.

Lemma KStrand_not_edge :

$\forall (s:\text{strand}), \mathbf{KStrand} s \rightarrow \neg \exists (n1\ n2 : \text{node}),$
 $\text{strand_of } n1 = s \wedge \text{strand_of } n2 = s \wedge \text{ssuccs } n1\ n2$.

5.5.2 A CStrand or SStrand cannot have a transformed edge

Lemma CStrand_not_edge :

$\forall (s:\text{strand}), \mathbf{CStrand} s \rightarrow \neg \exists (x\ y : \text{node}) (a:\mathbf{msg}),$
 $\text{strand_of } x = s \wedge \text{strand_of } y = s \wedge$
 $\text{recv } x \wedge \text{xmit } y \wedge \text{transformed_edge } x\ y\ a$.

Axiom SStrand_not_edge :

$\forall (s:\text{strand}), \mathbf{SStrand} s \rightarrow \neg \exists (x\ y : \text{node}) (a:\mathbf{msg}),$
 $\text{strand_of } x = s \wedge \text{strand_of } y = s \wedge$
 $\text{recv } x \wedge \text{xmit } y \wedge \text{transformed_edge } x\ y\ a$.

5.6 Every inhabited predicate has a prec-minimal element

Theorem `always_min_elt` : $\forall P: \text{node} \rightarrow \text{Prop},$
 $(\exists (x:\text{node}), (P\ x)) \rightarrow \text{has_min_elt}\ P.$

`Prec` is acyclic

Theorem `prec_is_acyclic`: $\forall (x:\text{node}), (\text{prec}\ x\ x) \rightarrow \text{False}.$

5.7 Ingredients must originate

Section `IngredientsOriginate`.

Variable *the_m*: **msg**.

Definition `m_ingred` (*n*: node): Prop := **ingred** *the_m* (`msg_of` *n*).

If *m* is an ingredient somewhere then there is a minimal such place

Lemma

`ingred_min`:

$(\exists n:\text{node}, (m_ingred\ n)) \rightarrow$
 $(\exists n:\text{node}, (is_minimal\ m_ingred\ n)).$

Lemma `smsg_xmit_msg` :

$\forall n\ m, \text{smsg_of}(n) = (+\ m) \rightarrow \text{msg_of}(n) = m.$

Lemma `smsg_rcv_msg` :

$\forall n\ m, \text{smsg_of}(n) = (-\ m) \rightarrow \text{msg_of}(n) = m.$

Lemma `msg_deliver_msg_eq` :

$\forall x\ y, x \dashrightarrow y \rightarrow \text{msg_of}\ x = \text{msg_of}\ y.$

A minimal node can't be a reception

Lemma

`minimal_not_rcv`:

$\forall (n:\text{node}), (is_minimal\ m_ingred\ n) \rightarrow$
 $\neg (\text{rcv}\ n).$

So, a minimal node must be a transmission

Lemma `minimal_is_xmit`: $\forall (n:\text{node}), (is_minimal\ m_ingred\ n) \rightarrow$
 $(\text{xmit}\ n).$

Main result of this section: an ingredient must originate

Theorem

ingred_originates_2:
 $(\exists n:\text{node}, (\text{ingred } the_m \text{ (msg_of } n))) \rightarrow$
 $(\exists n:\text{node}, (\text{orig_at } n \text{ } the_m)).$

End IngredientsOriginate.

5.8 Extending two paths

Lemma path_nth_app_left :

$\forall p \ q \ n, n < \text{length } p \rightarrow \text{nth_node } n \ (p++q) = \text{nth_node } n \ p.$

Lemma path_nth_app_right :

$\forall p \ q \ n, n \geq \text{length } p \rightarrow n < \text{length } (p++q) \rightarrow$
 $\text{nth_node } n \ (p++q) = \text{nth_node } (n-\text{length } p) \ q.$

Lemma length_zero_nil : $\forall (p : \text{list node}), \text{length } p = 0 \rightarrow p = [].$

Lemma path_extend :

$\forall (p : \text{list node}) \ (n:\text{node}), \text{is_path } p \rightarrow$
 $\text{path_edge } (\text{nth_node } (\text{length } p - 1) \ p) \ n \rightarrow \text{is_path } (p++[n]).$

Lemma comp_of_node_imp_ingred :

$\forall (m:\text{msg}) \ (n:\text{node}), m <[\text{node}] n \rightarrow m <\text{st} (\text{msg_of } n).$

5.9 Transformation path

Section Trans_path.

Variable p : path.

Variable n : node.

Variable $a \ t$: msg.

Let $lms := \text{fst } (\text{split } p).$

Let $lms := \text{snd } (\text{split } p).$

Let $n' := \text{nth_node } (\text{length } p - 1) \ lms.$

Let $t' := \text{nth_msg } (\text{length } p - 1) \ lms.$

Lemma transpath_extend :

$\text{is_trans_path } p \ a \rightarrow (\text{path_edge } n' \ n) \vee (\text{ssuccs } n' \ n \wedge \text{xmit } n' \wedge \text{xmit } n) \rightarrow$
 $(t' <[\text{node}] n' \wedge (t' = t \vee (t' \neq t \rightarrow \text{transformed_edge } n' \ n \ a))) \rightarrow$
 $a <\text{st } t \rightarrow a <\text{st } t' \rightarrow$
 $(\text{is_trans_path } [(n', t'); (n, t)] \ a \wedge \text{orig_at } n' \ a) \vee \text{is_trans_path } (p++[(n, t)])$
 $a).$

End Trans_path.

Lemma comp_trans : $\forall a \ L \ n, a <\text{st } L \rightarrow L <[\text{node}] n \rightarrow a <\text{st} (\text{msg_of } n).$

Section Prop_11.

Variable a L : **msg**.

Variable n : node.

Definition P_ingred : node $\rightarrow$ Prop :=

fun (n' :node) $\Rightarrow$ ssuccs n' $n \wedge$ **ingred** a (msg_of n').

Definition P_comp : node $\rightarrow$ Prop :=

fun (n' :node) $\Rightarrow$ ssuccs n' $n \wedge L <[\text{node}] n' \wedge a <\text{st} L$.

Lemma P_comp_imp_P_ingred :

$\forall x, P_comp\ x \rightarrow P_ingred\ x$.

Lemma ingred_of_earlier :

$a <\text{st} (\text{msg_of } n) \rightarrow \text{xmit } n \rightarrow \neg \text{orig_at } n\ a \rightarrow \exists n', P_ingred\ n'$.

Lemma new_at_earlier :

$a <\text{st} L \rightarrow L <[\text{node}] n \rightarrow \neg \text{new_at } L\ n \rightarrow \exists n', P_comp\ n'$.

Lemma not_orig_exists :

$a <\text{st} (\text{msg_of } n) \rightarrow \text{xmit } n \rightarrow \neg \text{orig_at } n\ a \rightarrow \text{has_min_elt } P_ingred$.

Lemma not_new_at_exists :

$a <\text{st} L \rightarrow L <[\text{node}] n \rightarrow \neg \text{new_at } L\ n \rightarrow \text{has_min_elt } P_comp$.

Lemma min_xmit_orig :

$\forall (x:\text{node}), \text{xmit } x \rightarrow \text{is_minimal } P_ingred\ x \rightarrow \text{orig_at } x\ a$.

Lemma min_new_at :

$\forall (x:\text{node}), \text{is_minimal } P_comp\ x \rightarrow \text{new_at } L\ x$.

Lemma eq_strand_trans :

$\forall x\ y\ z, \text{strand_of } x = \text{strand_of } y \rightarrow \text{strand_of } y = \text{strand_of } z \rightarrow$
 $\text{strand_of } x = \text{strand_of } z$.

Lemma not_ssuccseq :

$\forall (x\ y : \text{node}), \neg (x ==>* y) \rightarrow \text{strand_of } x = \text{strand_of } y \rightarrow y ==>+ x$.

Lemma orig_precede_new_at :

$\forall x\ y, \text{is_minimal } P_ingred\ x \rightarrow \text{is_minimal } P_comp\ y \rightarrow \text{ssuccseq } x\ y$.

End Prop_11.

Lemma msg_deliver_same_comp :

$\forall x\ y\ Ly, \text{msg_deliver } x\ y \rightarrow Ly <[\text{node}] y \rightarrow$
 $\exists Lx, Lx <[\text{node}] x \wedge Lx = Ly$.

For every atomic ingredient of a message, there exists a component of the message so that the atomic value is an ingredient of that component Lemma ingred_exists_comp:

$\forall m\ a, \text{atomic } a \rightarrow a <\text{st } m \rightarrow \exists L, a <\text{st } L \wedge \text{comp } L\ m$.

Lemma ingred_exists_comp_of_node:

$\forall (n:\text{node}) (a:\text{msg}), \text{atomic } a \rightarrow a <\text{st} (\text{msg_of } n)$

$\rightarrow \exists L, a <_{\text{st}} L \wedge L <_{[\text{node}]} n.$

Lemma msg_deliver_comp :

$\forall (n1\ n2:\text{node}) (m:\text{msg}),$
msg_deliver $n1\ n2 \wedge$
 comp_of_node $m\ n2 \rightarrow$ comp_of_node $m\ n1.$

Lemma new_at_imp_comp : $\forall m\ n, \text{new_at}\ m\ n \rightarrow m <_{[\text{node}]} n.$

Lemma orig_dec : $\forall n\ a, \text{orig_at}\ n\ a \vee \neg \text{orig_at}\ n\ a.$

Lemma new_at_dec : $\forall (n:\text{node}) (L:\text{msg}), \text{new_at}\ L\ n \vee \neg \text{new_at}\ L\ n.$

Lemma orig_imp_ingred : $\forall n\ a, \text{orig_at}\ n\ a \rightarrow a <_{\text{st}} \text{msg_of}\ n.$

Lemma orig_precede :

$\forall (x\ y : \text{node}) (a\ Ly : \text{msg}), \text{atomic}\ a \rightarrow \text{orig_at}\ x\ a \rightarrow$
 $a <_{\text{st}} Ly \rightarrow Ly <_{[\text{node}]} y \rightarrow \text{strand_of}\ x = \text{strand_of}\ y \rightarrow \text{ssuccseq}\ x\ y.$

Lemma ssuccseq_imp_eq_or_ssucss :

$\forall x\ y, \text{ssuccseq}\ x\ y \rightarrow x = y \vee \text{ssucss}\ x\ y.$

5.10 Backward Constructions

Section back_ward.

Variable $a\ L:$ **msg**.

Variable $n :$ node.

Lemma backward_construction :

atomic $a \rightarrow a <_{\text{st}} L \rightarrow L <_{[\text{node}]} n \rightarrow \neg \text{orig_at}\ n\ a \rightarrow$
 $\exists (n':\text{node}) (L':\text{msg}), (\text{path_edge}\ n'\ n \vee (\text{ssucss}\ n'\ n \wedge \text{xmit}\ n' \wedge \text{xmit}\ n \wedge$
 $\text{orig_at}\ n'\ a)) \wedge$
 $(a <_{\text{st}} L' \wedge L' <_{[\text{node}]} n' \wedge (L' = L \vee (L' \neq L \rightarrow \text{transformed_edge}\ n'\ n$
 $a)))$.

End back_ward.

5.11 Others

Definition not_proper_subterm ($t:\text{msg}$) :=

$\exists (n':\text{node}) (L:\text{msg}),$
 $t <_{\text{st}} L \rightarrow t \neq L \rightarrow \text{r_node}\ n' \rightarrow L <_{[\text{node}]} n' \rightarrow \text{False}.$

Definition r_comp ($L:\text{msg}$) ($n:\text{node}$) := $L <_{[\text{node}]} n \wedge \text{r_node}\ n.$

Definition not_constant_tp ($p:\text{path}$) :=

$(\text{nth_msg}\ 0\ (\text{lm}\ p)) \neq (\text{nth_msg}\ (\text{length}\ p - 1)\ (\text{lm}\ p)).$

Definition largest_index (p:path) (i:nat) :=

not_constant_tp p $\wedge$ i < length p - 1 $\wedge$
 nth_msg i (lm p) $\neq$ nth_msg (i+1) (lm p) $\wedge$
 $\forall j, j < \text{length } p \rightarrow j > i \rightarrow$
 nth_msg j (lm p) = nth_msg (length p - 1) (lm p).

Definition smallest_index (p:path) (i:nat) :=

not_constant_tp p $\wedge$ i < length p - 1 $\wedge$
 nth_msg i (lm p) $\neq$ nth_msg (i+1) (lm p) $\wedge$
 $\forall j, j \leq i \rightarrow \text{nth_msg } j \text{ (lm } p) = \text{nth_msg } 0 \text{ (lm } p).$

Lemma largest_index_imp_eq_last :

$\forall p \ i \ j, \text{largest_index } p \ i \rightarrow j < \text{length } p \rightarrow j > i \rightarrow$
 nth_msg j (lm p) = nth_msg (length p - 1) (lm p).

Lemma not_constant_exists :

$\forall p, \text{not_constant_tp } p \rightarrow \exists i, i < \text{length } p - 1 \rightarrow$
 nth_msg i (lm p) $\neq$ nth_msg (i+1) (lm p).

Lemma not_constant_exists_smallest :

$\forall p, \text{not_constant_tp } p \rightarrow \exists i, \text{smallest_index } p \ i.$

Lemma not_constant_exists_largest :

$\forall p, \text{not_constant_tp } p \rightarrow \exists i, \text{largest_index } p \ i.$

Lemma strand_length_3 :

$\forall (s:\text{strand}) (x \ y \ z : \text{smsg}), s = [x; y; z] \rightarrow \text{length } s = 3.$

Lemma DS_exists_key :

$\forall y \ h \ k \ k', \text{DStrand (strand_of } y) \rightarrow \text{msg_of } y = E \ h \ k \rightarrow \text{inv } k \ k' \rightarrow$
 $\exists x, \text{ssuccs } x \ y \wedge \text{msg_of } x = K \ k'.$

Lemma DS_node_0 :

$\forall x, \text{DStrand (strand_of } x) \rightarrow \text{index_of } x = 0 \rightarrow \exists k, \text{msg_of } x = K \ k.$

Lemma DS_node_1 :

$\forall x, \text{DStrand (strand_of } x) \rightarrow (\exists h \ k, \text{msg_of } x = E \ h \ k) \rightarrow \text{index_of } x = 1.$

Lemma msg_of_nth :

$\forall p \ n, n < \text{length } p \rightarrow \text{msg_of (nd } p \ n) = \text{nth_msg } n \text{ (lm } p).$

Chapter 6

Authentication_Tests_Library

This chapter contains the proofs of all propositions needed for authentication tests.

6.1 Proposition 6

A destructive path that enters decryption strands only through D-cyphertext edges is falling [6].

Lemma P6_1 : $\forall p, \text{des_path_not_key } p \rightarrow \text{falling_path } p$.

A constructive path that enters encryption strands only through E-plaintext edges is rising [6]

Lemma P6_2 : $\forall p, \text{cons_path_not_key } p \rightarrow \text{rising_path } p$.

6.2 Proposition 7

The sequence of penetrator strands traversed on a falling path is constrained by the structure of $\text{term}(p1)$.

Section P7_1.

Variable i : **nat**.

Variable p : **list** node.

Let $p_i := \text{nth_node } i \ p$.

Let $p_i1 := \text{nth_node } (i+1) \ p$.

Hypothesis $Hc : 0 < i \wedge i < \text{length } p - 1$.

Hypothesis $Hfp : \text{falling_path } p$.

Hypothesis *Hrec* : *recv p_i*.
 Hypothesis *Hpn* : *p_node p_i*.
 Let *s* := *strand_of p_i*.
 Lemma *path_edge_pi_pi1* : **path_edge** *p_i p_{i1}*.
 Lemma *P7_1_aux1* : *xmit p_{i1} ∧ strand_of p_{i1} = strand_of p_i*.
 Lemma *pi1_ingred_pi* : *msg_of p_{i1} <st msg_of p_i*.
 Lemma *P7_1_aux* : **DStrand** (*strand_of p_i*) **∨** **SStrand** (*strand_of p_i*).
 Section *P7_1_a*.
 Variable *h* : **msg**.
 Variable *k* : *Key*.
 Hypothesis *Heq* : *msg_of p_i = E h k*.
 Lemma *P7_1a* :
 DStrand *s ∧ msg_of p_{i1} = h*.
 End *P7_1_a*.
 Section *P7_1_b*.
 Variable *g h* : **msg**.
 Lemma *P7_1_b* :
 SStrand *s ∧ (msg_of p_{i1} = h ∨ msg_of p_{i1} = g)*.
 End *P7_1_b*.
 End *P7_1*.

6.3 Proposition 10

This lemma states that if (p, L) is a transformation path in which $L_i \neq L_{i+1}$, and p_i is a penetrator node, then $p_i \Rightarrow^+ p_{i+1}$ lies either on a D-strand or an E-strand [6].

Section *Proposition_10*.
 Variable *p* : *path*.
 Variable *n* : **nat**.
 Variable *a* : **msg**.
 Hypothesis *Htp* : *is_trans_path p a*.
 Hypothesis *Hn* : *n < length p - 1*.
 Hypothesis *Hcom* : $L\ p\ n \neq L\ p\ (n+1)$.
 Hypothesis *Pnode* : *p_node (nd p n)*.
 Lemma *trans_path_ssuccs* :
 ssuccs (nd p n) (nd p (n+1)).
 Lemma *Prop10_recv_xmit* : *recv (nd p n) ∧ xmit (nd p (n+1))*.
 Lemma *Proposition_10* : *ssuccs (nd p n) (nd p (n+1)) ∧*

(**DStrand** (strand_of (nd p n)) $\vee$ **EStrand** (strand_of (nd p n))).

End Proposition_10.

6.4 Proposition 11

This propositions states that given a node such that an atomic message a is an ingredient of the node's message, it is possible to construct a transformation path so that the atomic value is originated at the first node of the path and the given node is the last node of the path.

Section Proposition_11.

Lemma single_node_tp :

$\forall (n:\text{node}) (m\ a:\text{msg}),$
atomic $a \rightarrow a <\text{st } m \rightarrow m <[\text{node}] n \rightarrow \text{is_trans_path } [(n, m)]\ a.$

Lemma single_node_not_traverse_key :

$\forall (n:\text{node}) (m\ a : \text{msg}),$ **atomic** $a \rightarrow a <\text{st } m \rightarrow m <[\text{node}] n \rightarrow$
 $\text{is_trans_path } [(n, m)]\ a \rightarrow \text{not_traverse_key } [(n, m)].$

Definition p11_aux (n:node) (a t : msg) p : Prop :=

let $ln := \text{fst } (\text{split } p)$ in
 let $lm := \text{snd } (\text{split } p)$ in
 $\text{is_trans_path } p\ a \wedge$
 $\text{orig_at } (\text{nth_node } 0\ ln)\ a \wedge$
 $\text{nth_node } (\text{length } p - 1)\ ln = n \wedge$
 $\text{nth_msg } (\text{length } p - 1)\ lm = t \wedge$
 $\forall (i:\text{nat}), i < \text{length } p \rightarrow a <\text{st } (\text{nth_msg } i\ lm) \wedge$
 $\text{not_traverse_key } p.$

Definition p11_aux2 (n:node): Prop :=

$\forall (a\ t : \text{msg}),$ **atomic** $a \rightarrow a <\text{st } t \rightarrow t <[\text{node}] n \rightarrow$
 $\exists p, \text{p11_aux } n\ a\ t\ p.$

Lemma tpath_extend :

$\forall x\ a\ t, a <\text{st } t \rightarrow t <[\text{node}] x \rightarrow$
 $(\exists (x':\text{node}) (t':\text{msg}), (\text{path_edge } x'\ x \vee (\text{ssuccs } x'\ x \wedge \text{xmit } x' \wedge \text{xmit } x \wedge$
 $\text{orig_at } x'\ a))) \wedge$
 $(a <\text{st } t' \wedge t' <[\text{node}] x' \wedge (t' = t \vee (t' \neq t \rightarrow \text{transformed_edge } x'\ x\ a)))) \wedge$
 $\exists p, \text{p11_aux } x'\ a\ t'\ p) \rightarrow$
 $\exists p, \text{p11_aux } x\ a\ t\ p.$

Lemma Prop_11 : $\forall (n' : \text{node}), \text{p11_aux2 } n'.$

End Proposition_11.

6.5 Proposition 13

Section P13.

Variable pl : path.

Let $p := \text{fst}(\text{split } pl)$.

Let $l := \text{snd}(\text{split } pl)$.

Hypothesis Hpp : $p_path\ p$.

Hypothesis $Hp1$: **simple** ($\text{msg_of}(\text{nth_node } 0\ p)$).

Lemma Prop13 :

$\forall (i:\text{nat}), i < \text{length } p - 1 \rightarrow$

$\exists (j:\text{nat}), (j \leq i \wedge \text{msg_of}(\text{nth_node } j\ p) = \text{nth_msg } i\ l).$

Definition P13_1_aux ($n:\text{nat}$) : Prop :=

$\text{msg_of}(\text{nth_node } n\ p) = (\text{nth_msg } (\text{length } p - 1)\ l) \wedge$

$\forall (i:\text{nat}), i \geq n \rightarrow i \leq \text{length } p - 1 \rightarrow$

$\text{nth_msg } i\ l = \text{nth_msg } (\text{length } p - 1)\ l.$

Lemma P13_1 :

$\exists (n:\text{nat}), \text{P13_1_aux } n \wedge$

$(\forall m, m > n \rightarrow \neg \text{P13_1_aux } m) \wedge$

$\exists i, i < \text{length } p - 1 \rightarrow \text{nth_msg } i\ l \neq \text{nth_msg } (i+1)\ l \rightarrow$

$\text{xmit}(\text{nth_node } n\ p) \wedge \mathbf{EStrand}(\text{strand_of}(\text{nth_node } n\ p)).$

End P13.

6.6 Proposition 17

This lemma states that either a penetrable key is already penetrated, or some regular principal puts it in a form that could allow it to be penetrated. In fact, any key that becomes available to the penetrator in any bundle is a member of PKeys [6].

Section P17.

Definition Prop17_aux ($n:\text{node}$) : Prop :=

$\forall (k : \text{Key}), \text{msg_of } n = K\ k \rightarrow \mathbf{PKeys } k.$

Lemma Prop17 : $\forall (n:\text{node}), \text{Prop17_aux } n.$

End P17.

6.7 Proposition 18

Section P18.

Variable p : path.

Variable a : **msg**.
 Hypothesis t_path : $is_trans_path\ p\ a$.
 Hypothesis no_key : $not_traverse_key\ p$.
 Hypothesis $p1$: $r_node\ (nth_node\ 0\ (ln\ p))$.
 Hypothesis lp : $r_node\ (nth_node\ (length\ p - 1)\ (ln\ p))$.
 Hypothesis $nconst$: $(nth_msg\ 0\ (lm\ p)) \neq (nth_msg\ (length\ p - 1)\ (lm\ p))$.
 Section P18_1.
 Variable $h1$: **msg**.
 Variable $k1\ k1'$: **Key**.
 Hypothesis enc_form : $nth_msg\ 0\ (lm\ p) = E\ h1\ k1$.
 Hypothesis key_pair : $inv\ k1\ k1'$.
 Hypothesis not_pen : $\neg PKeys\ k1'$.
 Hypothesis $not_subterm$: $not_proper_subterm\ (nth_msg\ 0\ (lm\ p))$.
 Lemma Prop18_1 :
 $\forall\ n, smallest_index\ p\ n \rightarrow$
 $r_node\ (nth_node\ n\ (ln\ p)) \wedge$
 $transforming_edge_for\ (nth_node\ n\ (ln\ p))\ (nth_node\ (n+1)\ (ln\ p))\ a$.
 End P18_1.
 Section P18_2.
 Variable hp : **msg**.
 Variable $kp\ kp'$: **Key**.
 Hypothesis enc_form : $nth_msg\ (length\ p - 1)\ (lm\ p) = E\ hp\ kp$.
 Hypothesis key_pair : $inv\ kp\ kp'$.
 Hypothesis not_pen : $\neg PKeys\ kp'$.
 Hypothesis $not_subterm$: $not_proper_subterm\ (nth_msg\ (length\ p - 1)\ (lm\ p))$.
 Lemma Prop18_2 :
 $\forall\ n, largest_index\ p\ n \rightarrow$
 $r_node\ (nth_node\ n\ (ln\ p)) \wedge$
 $transforming_edge_for\ (nth_node\ n\ (ln\ p))\ (nth_node\ (n+1)\ (ln\ p))\ a$.
 End P18_2.
 End P18.

Chapter 7

Authentication_Tests

This chapter contains the proofs of the two authentication tests, outgoing test and incoming test, which are the main results of this project.

7.1 Definitions

7.1.1 Test component and test

Tests can use their test components in at least two different ways. If the uniquely originating value is sent in encrypted form, and the challenge is to decrypt it, then that is an outgoing test. If it is received back in encrypted form, and the challenge is to produce that encrypted form, then that is an incoming test [6]. These two kinds of test are illustrated in Figure 7.1.

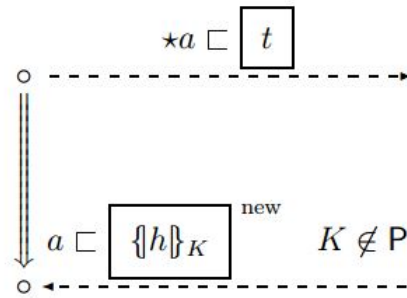
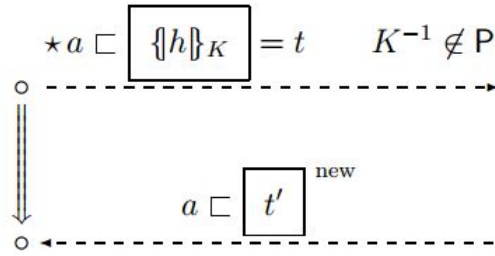
Definition test_component ($a\ t$: **msg**) (n :node) : Prop :=
 $(\exists\ h\ k,\ t = E\ h\ k) \wedge a <_{st} t \wedge t <_{[node]} n \wedge \text{not_proper_subterm}\ t.$

Definition test ($x\ y$: node) (a : **msg**) : Prop :=
 $\text{unique}\ a \wedge \text{orig_at}\ x\ a \wedge \text{transformed_edge_for}\ x\ y\ a.$

7.1.2 Incoming test

Definition incoming_test ($x\ y$: node) ($a\ t$: **msg**) : Prop :=
 $(\exists\ h\ k,\ t = E\ h\ k \wedge \neg \text{PKeys}\ k) \wedge \text{test}\ x\ y\ a \wedge \text{test_component}\ a\ t\ y.$

Outgoing test Definition outgoing_test ($x\ y$: node) ($a\ t$: **msg**) : Prop :=
 $(\exists\ h\ k\ k',\ t = E\ h\ k \wedge \text{inv}\ k\ k' \wedge \neg \text{PKeys}\ k') \wedge$
 $\text{test}\ x\ y\ a \wedge \text{test_component}\ a\ t\ x.$



$\star$ means a originates uniquely here

$\boxed{t}$ means t is a component of this node

Figure 7.1: Outgoing and Incoming Tests

7.2 Some basic results

Below are some basic results following directly from the definitions for test, test component, outgoing test, and incoming test.

7.2.1 Unique

Lemma test_imp_unique : $\forall x y a, \text{test } x y a \rightarrow \text{unique } a.$

Lemma incoming_test_imp_unique :
 $\forall x y a t, \text{incoming_test } x y a t \rightarrow \text{unique } a.$

Lemma outgoing_test_imp_unique :
 $\forall x y a t, \text{outgoing_test } x y a t \rightarrow \text{unique } a.$

7.2.2 Transformed edge

Lemma test_imp_trans_edge :
 $\forall x y a, \text{test } x y a \rightarrow \text{transformed_edge_for } x y a.$

Lemma incoming_test_imp_trans_edge :
 $\forall x y a t, \text{incoming_test } x y a t \rightarrow \text{transformed_edge_for } x y a.$

Lemma outgoing_test_imp_trans_edge :
 $\forall x y a t, \text{outgoing_test } x y a t \rightarrow \text{transformed_edge_for } x y a.$

Origination Lemma test_imp_orig : $\forall x y a, \text{test } x y a \rightarrow \text{orig_at } x a.$

Lemma incoming_test_imp_orig :
 $\forall x y a t, \text{incoming_test } x y a t \rightarrow \text{orig_at } x a.$

Lemma outgoing_test_imp_orig :
 $\forall x y a t, \text{outgoing_test } x y a t \rightarrow \text{orig_at } x a.$

7.2.3 Ingredient

Lemma tc_ingred : $\forall a t n, \text{test_component } a t n \rightarrow a <\text{st } t.$

7.2.4 Incoming test (outgoing test) implies test_component

Lemma incoming_test_imp_tc :
 $\forall x y a t, \text{incoming_test } x y a t \rightarrow \text{test_component } a t y.$

Lemma outgoing_test_imp_tc :
 $\forall x y a t, \text{outgoing_test } x y a t \rightarrow \text{test_component } a t x.$

Component Lemma `tc_comp` : $\forall a\ t\ n, \text{test_component } a\ t\ n \rightarrow t < [\text{node}]\ n$.

Lemma `outgoing_test_comp` :
 $\forall x\ y\ a\ t, \text{outgoing_test } x\ y\ a\ t \rightarrow t < [\text{node}]\ x$.

Lemma `incoming_test_comp` :
 $\forall x\ y\ a\ t, \text{incoming_test } x\ y\ a\ t \rightarrow t < [\text{node}]\ y$.

Others Lemma `unique_orig` :
 $\forall x\ y\ a, \text{unique } a \rightarrow \text{orig_at } x\ a \rightarrow \text{orig_at } y\ a \rightarrow x = y$.

Lemma `transpath_not_constant` :
 $\forall p\ a, \text{is_trans_path } p\ a \rightarrow$
 $\text{transformed_edge_for } (\text{nth_node } 0\ (\ln\ p))\ (\text{nth_node } (\text{length } p - 1)\ (\ln\ p))\ a \rightarrow$
 $\text{not_constant_tp } p$.

Lemma `ssuccs_both_r_nodes` :
 $\forall x\ y, \text{ssuccs } x\ y \rightarrow \text{r_node } x \rightarrow \text{r_node } y$.

Lemma `trans_ef_imp_ssuccs` :
 $\forall x\ y\ a, \text{transforming_edge_for } x\ y\ a \rightarrow \text{ssuccs } x\ y$.

Lemma `tp_comp` :
 $\forall p\ a\ i, \text{is_trans_path } p\ a \rightarrow i < \text{length } p \rightarrow$
 $\text{nth_msg } i\ (\ln\ p) < [\text{node}]\ \text{nth_node } i\ (\ln\ p)$.

Lemma `tf_edge_exists` :
 $\forall x\ y\ a, \text{transformed_edge_for } x\ y\ a \rightarrow$
 $\exists Ly, a <_{\text{st}} Ly \wedge Ly < [\text{node}]\ y$.

7.3 Authentication tests

Section `Authentication_tests`.

Variable $n\ n'$: `node`.

Variable $a\ t$: `msg`.

Hypothesis `Atom` : `atomic a`.

7.3.1 Outgoing test

If a regular principal sends out a messages in encrypted form, the original component, and sometime later receives it back in a new component. Then we can conclude that there exists a regular transforming edge. The meaning of this test is illustrated in the Figure 7.2.

Theorem `Authentication_test1` :

`outgoing_test n n' a t` $\rightarrow$

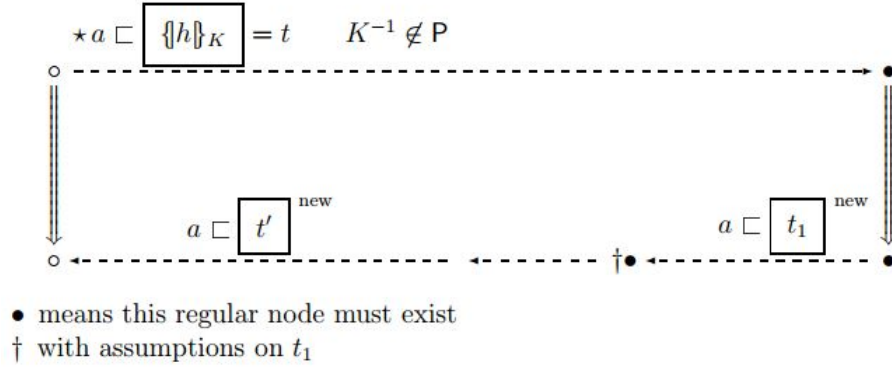


Figure 7.2: Authentication provided by an Outgoing Test

$\exists m \ m', \text{r_node } m \wedge \text{r_node } m' \wedge t < [\text{node}] \ m \wedge$
 transforming_edge_for $m \ m' \ a$.

7.3.2 Incoming test

Incoming tests can be used to infer the existence of a regular transforming edge in protocols in which the nonce is emitted in plaintext, and later received in encrypted form [6].

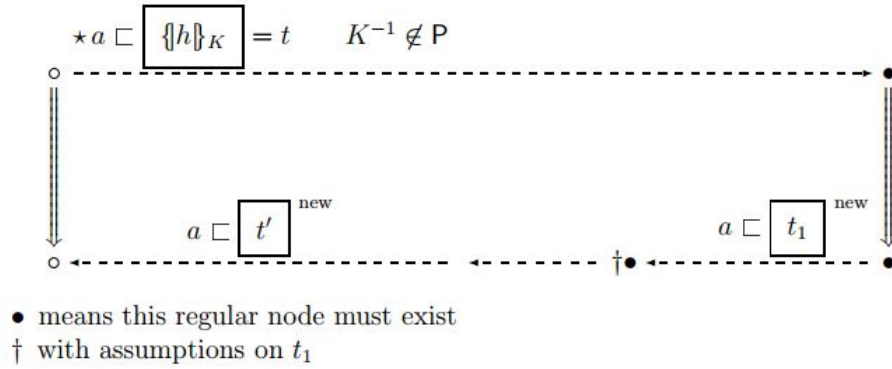


Figure 7.3: Authentication provided by an Incoming Test

Theorem Authentication_test2 :

incoming_test $n \ n' \ a \ t \rightarrow$

$\exists m \ m', \text{r_node } m \wedge \text{r_node } m' \wedge t < [\text{node}] \ m' \wedge$
 transforming_edge_for $m \ m' \ a$.

End Authentication_tests.

Chapter 8

Conclusion and Future Work

I successfully formalized strand spaces in Coq, and had the proofs for the two authentication tests with some incomplete proofs. To accomplish these, I implemented 6 modules as following.

1. Message Algebra: formalization of possible messages which can be exchanged between principals in a protocol.
2. Strand Spaces: formalization of node, strand, penetrator strand, edges, etc.
3. Strand Library: many basic results of strand spaces, which are used to prove the authentication tests
4. Authentication Library: the proofs of all propositions needed for proving authentication tests
5. List Library: some basic results about lists not found in the standard Coq List library
6. Authentication Tests: the proofs of the two main theorems

8.1 Future Work

This section describes the possible future work that can be done based on the project.

We already have a framework, strand space formalization, for specifying and verifying cryptographic protocols in general. One potential project following this one is to specify and verify some particular protocols like Otway-Rees, Woo-Lam, Newman-Stubblebine, then apply "Authentication Tests" to prove some specific security goals of these protocols.

When formalizing strand spaces in Coq, I encountered a lot of design choices and I had to decide which option to use, for example, inductive definitions (starting with "Fixpoint" in Coq) and deductive definitions (starting with "Definition" in Coq), variable and parameter. Each design choice has some advantages and some disadvantages. So the answer to which one is better depends on the usages of it later.

We can use Coq to extract programs from proofs. So we can use this Coq's facility to extract the programs of cryptographic protocols, and then use such programs to synthesize protocol implementations. It is a good way to detect the protocol failures or protocol errors.

Due to the limit of time and the difficulties of proving authentication tests, some propositions on the authentications test library were not completed. These lemmas are verified carefully in paper proofs. However, proving remaining lemmas in Coq will strengthen the correctness of authentication tests.

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